Chapter 21. Carboxylic Acid Derivatives and Nucleophilic Acyl Substitution Reactions

Based on McMurry's Organic Chemistry, 6<sup>th</sup> edition ©2003 Ronald Kluger Department of Chemistry University of Toronto

### Carboxylic Compounds

- Acyl group bonded to Y, an electronegative atom or leaving group
- Includes: Y = halide (acid halides), acyloxy (anhydrides), alkoxy (esters), amine (amides), thiolate (thioesters), phosphate (acyl phosphates)



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### **General Reaction Pattern**



#### Why this Chapter?

- Carboxylic acids are among the most widespread of molecules.
- A study of them and their primary reaction "nucleophilic acyl substitution" is fundamental to understanding organic chemistry

### 21.1 Naming Carboxylic Acid Derivatives

#### Acid Halides, RCOX

 Derived from the carboxylic acid name by replacing the -ic acid ending with -yl or the -carboxylic acid ending with -carbonyl and specifying the halide



### Naming Acid Anhydrides, RCO<sub>2</sub>COR'

- If symnmetrical replace "acid" with "anhydride" based on the related carboxylic acid (for symmetrical anhydrides)
- From substituted monocarboxylic acids: use bisahead of the acid name
- Unsymmetrical anhydrides— cite the two acids alphabetically



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### Naming Amides, RCONH<sub>2</sub>

- With unsubstituted —NH<sub>2</sub> group. replace -oic acid or -ic acid with -amide, or by replacing the -carboxylic acid ending with –carboxamide
- If the N is further substituted, identify the substituent groups (preceded by "N") and then the parent amide



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### Naming Esters, RCO<sub>2</sub>R'

Name R' and then, after a space, the carboxylic acid (RCOOH), with the "-*ic acid*" ending replaced by "ate"

 $\overset{\textbf{O}}{\overset{\parallel}{\parallel}}_{\textbf{CH}_3\textbf{COCH}_2\textbf{CH}_3}$ 

 $\begin{array}{c} \mathbf{O} & \mathbf{O} \\ \parallel & \parallel \\ \mathbf{CH}_3\mathbf{OCCH}_2\mathbf{COCH}_3 \end{array}$ 

Ethyl acetate (the ethyl ester of acetic acid) © 2004 Thomson/Brooks Cole Dimethyl malonate (the dimethyl ester of malonic acid)

*tert*-Butylcyclohexanecarboxylate (the *tert*-butyl ester of cyclohexanecarboxylic acid)

### 21.2 Nucleophilic Acyl Substitution

- Carboxylic acid derivatives have an acyl carbon bonded to a group —Y that can leave
- A tetrahedral intermediate is formed and the leaving group is expelled to generate a new carbonyl compound, leading to substitution



### Relative Reactivity of Carboxylic Acid Derivatives

- Nucleophiles react more readily with unhindered carbonyl groups
  - More electrophilic carbonyl groups are more reactive to addition (acyl halides are most reactive, amides are least)
  - The intermediate with the best leaving group decomposes fastest



### Substitution in Synthesis

- We can readily convert a more reactive acid derivative into a less reactive one
- Reactions in the opposite sense are possible but require more complex approaches



### General Reactions of Carboxylic Acid Derivatives

- water carboxylic acid
- alcohols @esters
- ammonia or an amine ①an amide
- hydride source an aldehyde or an alcohol
- Grignard reagent
   ②a ketone or an alcohol



21.3 Nucleophilic Acyl Substitution Reactions of Carboxylic Acids

- Must enhance reactivity
- Convert —OH into a better leaving group
- Specific reagents can produce acid chlorides, anhydrides, esters, amides



## Conversion of Carboxylic Acids into Acid Chlorides

Reaction with thionyl chloride, SOCl<sub>2</sub>



### Mechanism of Thionyl Chloride Reaction

- Nucleophilic acyl substitution pathway
- Carboxylic acid is converted into a *chlorosulfite* which then reacts with chloride



# Conversion of Carboxylic Acids into Acid Anhydrides

Acid anhydrides can be derived from two molecules of carboxylic acid by strong heating to remove water



Conversion of Carboxylic Acids into Acid Anhydrides

- Heat cyclic dicarboxylic acids that can form five- or six-membered rings
- Acyclic anhydrides are not generally formed this way

   they are usually made from acid chlorides and
   carboxylic acids



## Conversion of Carboxylic Acids into Esters

Methods include reaction of a carboxylate anion with a primary alkyl halide

 $CH_{3}CH_{2}CH_{2}CH_{2}CH_{2}CH_{3} \xrightarrow{\square Na^{+} + CH_{3}} \xrightarrow{\square I} \xrightarrow{S_{N}2} CH_{3}CH_{2}CH_{2}CH_{2}COCH_{3} + NaI$ Sodium butanoate Methyl butanoate, an ester (97%)

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### **Fischer Esterification**

Heating a carboxylic acid in an alcohol solvent containing a small amount of strong acid produces an ester from the alcohol and acid



### Mechanism of the Fischer Esterification

- The reaction is an acid-catalyzed, nucleophilic acyl substitution of a carboxylic acid
- When <sup>18</sup>O-labeled methanol reacts with benzoic acid, the methyl benzoate produced is <sup>18</sup>O-labeled but the water produced is unlabeled



### Fischer Esterification: Detailed Mechanism





Protonation of the carbonyl oxygen activates the carboxylic acid . . .

 ... toward nucleophilic attack by alcohol, yielding a tetrahedral intermediate.

3 Transfer of a proton from one oxygen atom to another yields a second tetrahedral intermediate and converts the OH group into a good leaving group.

Loss of a proton and expulsion of H<sub>2</sub>O regenerates the acid catalyst and gives the ester product.

### 21.4 Chemistry of Acid Halides

- Acid chlorides are prepared from carboxylic acids by reaction with SOCl<sub>2</sub>
- Reaction of a carboxylic acid with PBr<sub>3</sub> yields the acid bromide



### **Reactions of Acid Halides**

- Nucleophilic acyl substitution
- Halogen replaced by —OH, by —OR, or by —NH<sub>2</sub>
- Reduction yields a primary alcohol



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### Hydrolysis: Conversion of Acid Halides into Acids

- Acid chlorides react with water to yield carboxylic acids
- HCI is generated during the hydrolysis: a base is added to remove the HCI



### Conversion of Acid Halides to Esters

- Esters are produced in the reaction of acid chlorides react with alcohols in the presence of pyridine or NaOH. This is called **Alcoholysis**.
- The reaction is better with less steric bulk



### Aminolysis: Conversion of Acid Halides into Amides

- Amides result from the reaction of acid chlorides with NH<sub>3</sub>, primary (RNH<sub>2</sub>) and secondary amines (R<sub>2</sub>NH)
- The reaction with tertiary amines (R<sub>3</sub>N) gives an unstable species that cannot be isolated
- HCI is neutralized by the amine or an added base



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### Reduction: Conversion of Acid Chlorides into Alcohols

LiAlH<sub>4</sub> reduces acid chlorides to yield aldehydes and then primary alcohols



### Reaction of Acid Chlorides with Organometallic Reagents

Grignard reagents react with acid chlorides to yield tertiary alcohols in which two of the substituents are the same



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### Formation of Ketones from Acid Chlorides

- Reaction of an acid chloride with a lithium diorganocopper (Gilman) reagent, Li<sup>+</sup> R<sub>2</sub>Cu<sup>-</sup>
- Addition produces an acyl diorganocopper intermediate, followed by loss of R'Cu and formation of the ketone

![](_page_27_Figure_3.jpeg)

### 21.5 Chemistry of Acid Anhydrides

Prepared by nucleophilic of a carboxylate with an acid chloride

![](_page_28_Figure_2.jpeg)

### Reactions of Acid Anhydrides

#### Similar to acid chlorides in reactivity

![](_page_29_Figure_2.jpeg)

### Acetylation

Acetic anhydride forms acetate esters from alcohols and *N*-substituted acetamides from amines

![](_page_30_Figure_2.jpeg)

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### 21.6 Chemistry of Esters

- Many esters are pleasant-smelling liquids: fragrant odors of fruits and flowers
- Also present in fats and vegetable oils

![](_page_31_Figure_3.jpeg)

#### A fat (R = C<sub>11–17</sub> chains)

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CH<sub>3</sub>CH<sub>2</sub>CH<sub>2</sub>COCH<sub>3</sub>

Methyl butanoate

(from pineapples)

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### **Preparation of Esters**

Esters are usually prepared from carboxylic acids

![](_page_32_Figure_2.jpeg)

### **Reactions of Esters**

- Less reactive toward nucleophiles than are acid chlorides or anhydrides
- Cyclic esters are called lactones and react similarly to acyclic esters

![](_page_33_Figure_3.jpeg)

### Hydrolysis: Conversion of Esters into Carboxylic Acids

An ester is hydrolyzed by aqueous base or aqueous acid to yield a carboxylic acid plus an alcohol

![](_page_34_Figure_2.jpeg)

### Mechanism of Ester Hydrolysis

Hydroxide catalysis via an addition intermediate

![](_page_35_Figure_2.jpeg)

 Nucleophilic addition of hydroxide ion to the ester carbonyl group gives the usual tetrahedral alkoxide intermediate.

- 2 Elimination of alkoxide ion then generates the carboxylic acid.
- 3 Alkoxide ion abstracts the acidic proton from the carboxylic acid and yields a carboxylate ion.

Protonation of the carboxylate ion by addition of aqueous mineral acid in a separate step then gives the free carboxylic acid.

### Evidence from Isotope Labelling

- <sup>18</sup>O in the ether-like oxygen in ester winds up exclusively in the ethanol product
- None of the label remains with the propanoic acid, indicating that saponification occurs by cleavage of the C–OR' bond rather than the CO–R' bond

![](_page_36_Figure_3.jpeg)

### Acid Catalyzed Ester Hydrolysis

#### The usual pathway is the reverse of the Fischer esterification

![](_page_37_Figure_2.jpeg)

### Aminolysis of Esters

Ammonia reacts with esters to form amides

![](_page_38_Figure_2.jpeg)

## Reduction: Conversion of Esters into Alcohols

#### Reaction with LiAlH<sub>4</sub> yields primary alcohols

![](_page_39_Figure_2.jpeg)

### Mechanism of Reduction of Esters

- Hydride ion adds to the carbonyl group, followed by elimination of alkoxide ion to yield an aldehyde
- Reduction of the aldehyde gives the primary alcohol

![](_page_40_Figure_3.jpeg)

### Partial Reduction to Aldehydes

- Use one equivalent of diisobutylaluminum hydride (DIBAH = ((CH<sub>3</sub>)<sub>2</sub>CHCH<sub>2</sub>)<sub>2</sub>AIH)) instead of LiAIH<sub>4</sub>
- Low temperature to avoid further reduction to the alcohol

![](_page_41_Figure_3.jpeg)

where  $DIBAH = [(CH_3)_2 CHCH_2]_2 AlH$ 

### Reaction of Esters with Grignard Reagents

React with 2 equivalents of a Grignard reagent to yield a tertiary alcohol

![](_page_42_Figure_2.jpeg)

### 21.7 Chemistry of Amides

Amides are abundant in all living organisms...proteins, nucleic acids, and other pharmaceuticals have amid functional groups

![](_page_43_Figure_3.jpeg)

### 21.7 Chemistry of Amides

Prepared by reaction of an acid chloride with ammonia, monosubstituted amines, or disubstituted amines

![](_page_44_Figure_2.jpeg)

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### **Reactions of Amides**

- Heating in either aqueous acid or aqueous base produces a carboxylic acid and amine
- Acidic hydrolysis by nucleophilic addition of water to the protonated amide, followed by loss of ammonia

![](_page_45_Figure_3.jpeg)

### Basic Hydrolysis of Amides

Addition of hydroxide and loss of amide ion

#### **Basic hydrolysis**

![](_page_46_Figure_3.jpeg)

### Reduction: Conversion of Amides into Amines

- Reduced by LiAlH<sub>4</sub> to an amine rather than an alcohol
- Converts  $C=O \rightarrow CH_2$

![](_page_47_Figure_3.jpeg)

### Mechanism of Reduction

- Addition of hydride to carbonyl group
- Loss of the oxygen as an aluminate anion to give an iminium ion intermediate which is reduced to the amine

![](_page_48_Figure_3.jpeg)

### Uses of Reduction of Amides

- Works with cyclic and acyclic
- Good route to cyclic amines

![](_page_49_Figure_3.jpeg)

21.8 Chemistry of Thioesters and Acyl Phosphates: Biological Carboxylic Acid Derivatives

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- Nucleophilic carboxyl substitution in nature often involves a thioester or acyl phosphate
- Acetyl CoA's are most common thioesters in nature

![](_page_50_Figure_3.jpeg)

## 21.8 Thioesters and Acyl Phosphates: Biological Carboxylic Acid Derivatives

These have unique binding properties and are readily activated by enzymes

![](_page_51_Figure_2.jpeg)

21.9 Polyamides and Polyesters: Step-Growth Polymers

- Reactions occur in distinct linear steps, not as chain reactions
- Reaction of a diamine and a diacid chloride gives an ongoing cycle that produces a polyamide
- A diol with a diacid leads to a polyester

![](_page_52_Figure_4.jpeg)

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### Polyamides (Nylons)

- Heating a diamine with a diacid produces a polyamide called Nylon<sup>®</sup>
- Nylon 66<sup>®</sup> is from adipic acid and hexamethylenediamine at 280°C

![](_page_53_Figure_3.jpeg)

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![](_page_54_Picture_0.jpeg)

The polyester from dimethyl terephthalate and ethylene glycol is called Dacron<sup>®</sup> and Mylar<sup>®</sup> to make fibers

![](_page_54_Figure_2.jpeg)

21.10 Spectroscopy of Carboxylic Acid Derivatives

- Infrared Spectroscopy
  - Acid chlorides absorb near 1800 cm<sup>-1</sup>
  - Acid anhydrides absorb at 1820 cm<sup>-1</sup> and also at 1760 cm<sup>-1</sup>
  - Esters absorb at 1735 cm<sup>-1</sup>, higher than aldehydes or ketones
  - Amides absorb near the low end of the carbonyl region

### Nuclear Magnetic Resonance Spectroscopy

- Hydrogens on the carbon next to a C=O are near δ2 in the <sup>1</sup>H NMR spectrum.
- All acid derivatives absorb in the same range so NMR does not distinguish them from each other

![](_page_56_Figure_3.jpeg)

### <sup>13</sup>C NMR

- <sup>13</sup>C NMR is useful for determining the presence or absence of a carbonyl group in a molecule of unknown structure
- Carbonyl carbon atoms of the various acid derivatives absorb from δ160 to δ180

#### Table 21.4 <sup>13</sup>C NMR Absorptions in Some Carbonyl Compounds

Compound	Absorption ( $\delta$ )	Compound	Absorption $(\delta)$
Acetic acid	177.3	Acetic anhydride	166.9
Ethyl acetate	170.7	Acetone	205.6
Acetyl chloride	170.3	Acetaldehyde	201.0
Acetamide	172.6		

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